On the Difference Set of two Transductions and PRAX Algorithms

Stavros Konstantinidis

Saint Mary's University, Halifax, Canada

One FLAT World Seminar talk, June 18, 2025

Based on the papers:

[SK, Mitja Mastnak, Nelma Moreira, Rog. Reis: PRAX, TCS 2023][SK, N.Moreira, R.Reis, Juraj Šebej: Diff sets of Transd, TCS2024][P. Andreou, SK, Taylor Smith: PRAX apps, JALC, to appear]

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Some General References

Automata and FLs: Salomaa; Rozenberg; Hopcroft & Ullman Transducers: Berstel; Sakarovitch Complexity: O. Goldreich; Arora & Barak Probabilistic Computing: Mitzenmacher & Upfal

Difference Set of two Transducers

Let s, t be two transducers with the <u>same</u> domain. Their difference set is

$$\Delta_{\boldsymbol{s},\boldsymbol{t}} = \{ w \in \operatorname{dom} \boldsymbol{s} \mid \boldsymbol{s}(w) \neq \boldsymbol{t}(w) \}.$$

Their equality set is

$$\mathcal{E}_{\boldsymbol{s},\boldsymbol{t}} = \{ w \in \operatorname{dom} \boldsymbol{s} \mid \boldsymbol{s}(w) = \boldsymbol{t}(w) \}.$$

The transducers are nondeterministic in general, so we have a set of outputs for any given input.

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Example

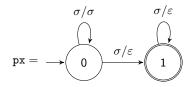
Let px, sx be the prefix and suffix transducers;

px(w) = the set of prefixes of w.

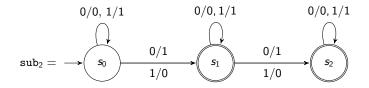
Their difference set is equal to the set of all words containing at least two distinct letters.

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Transducer examples



E.g.,
$$px(001) = \{00, 0, \varepsilon\}$$



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Chomsky-like types of the languages $\Delta_{s,t}$

Consider the language class $\Delta(\mathsf{TR}) = \{\Delta_{\boldsymbol{s},\boldsymbol{t}} \mid \boldsymbol{s}, \boldsymbol{t} \in \mathsf{TR}, \mathsf{dom}\boldsymbol{s} = \mathsf{dom}\boldsymbol{t}\}$

Questions:

- How does this class relate to standard language classes?
- What about the classes defined when the transducers s, t involved are of some restricted type?

Example

(continued) Let px, sx be the prefix and suffix transducers;

px(w) = the set of prefixes of w.

Their difference set is equal to the set of all words containing at least two distinct letters. Thus, $\Delta_{\mathtt{px,sx}}$ is a regular language: $\Delta_{\mathtt{px,sx}} \in \textbf{REG}.$

Types of a transducer t

- FINOUT: t(w) is finite, for all inputs w
- FINVAL: there is $k \in \mathbb{N}$ such that $|\mathbf{t}(w)| \leq k$ for all w
- FUNC: $|\boldsymbol{t}(w)| \leq 1$ for all w
- HOM: dom $\mathbf{t} = \Sigma^*$ and the usual: $\mathbf{t}(xy) = \mathbf{t}(x)\mathbf{t}(y)$

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Notation

- Δ(Y) denotes the class of all difference sets between transductions of type Y.
- Δ(Y₁, Y₂) denotes the class of all difference sets between a transducer of type Y₁ and one of type Y₂.
 For example, Δ(FUNC, TR) = the class of languages Δ_{s,t}, for some functional transducer s and some transducer t.

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For example, $\Delta(FUNC, TR) =$ the class of languages $\Delta_{s,t}$, for some functional transducer s and some transducer t.

E(Y) denotes the class of all equality sets between transductions of type Y.

For example, the well-known class $\mathcal{E}(HOM)$

Related Literature ?

Literature: We found no direct results on the $\Delta(Y)$'s, but found some in the same spirit and some that could be used to infer...

 The language that distinguishes two states of a DFA in [Cezar, Nelma, Rogerio, 2016]

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- The language that distinguishes two states of a DFA in [Cezar, Nelma, Rogerio, 2016]
- ► *E*(HOM) ⊆ coOCL. Hence, Δ(HOM) ⊆ OCL. [Harju, Karhumäki: Morphisms, 1997]
- *E*(FUNC) ⊆ CSL and *E*(FUNC) ⊈ CFL, follows from

 [Foryś, Fixed point languages...1986]

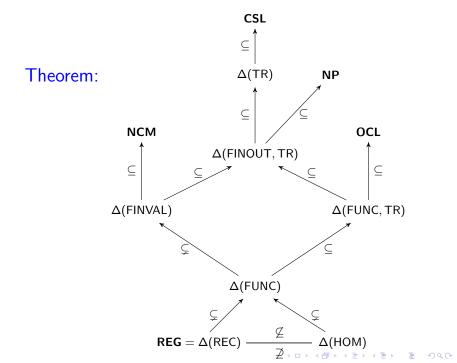
The paper shows that the fixed point $\{w \mid w \in t(w)\}$ of any transducer t is **CSL**. Then, for any $g, h \in FUNC$, as $\mathcal{E}_{g,h}$ is the fixed point of $h^{-1} \circ g$, we have that indeed $\mathcal{E}(FUNC) \subseteq \mathbf{CSL}$.

Hierarchy

The following are immediate:

 $\begin{array}{l} \Delta(\mathsf{HOM}) \subseteq \\ \Delta(\mathsf{FUNC}) \subseteq \\ \Delta(\mathsf{FUNC},\mathsf{TR}), \Delta(\mathsf{FINVAL}) \subseteq \\ \Delta(\mathsf{FINOUT},\mathsf{TR}) \subseteq \\ \Delta(\mathsf{TR}) \end{array}$

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The proofs of the inclusions are not long, but they put together several "scattered" facts and adapt the proofs of some facts.

 Δ(FUNC, TR) ⊆ OCL: follows by adapting the proof of "The prefix language of two s, t ∈ FUNC is coOCL" in [J. Engelfriet, H.J. Hoogeboom: IPL 1988]
 Pref(s,t)=all w such that one of s(w), t(w) is prefix of the other

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- $\Delta(FUNC) \subsetneq \Delta(FINVAL)$: shown using the other inclusion $\Delta(FUNC) \subseteq \Delta(FUNC, TR) \subseteq \Delta(OCL)$, and the example of the finite valued transducers s, t with domain $a^+b^+c^+d^+$ such that $s(a^{n_1}b^{m_1}c^{n_2}d^{m_2}) = \{a^{n_1}, a^{m_1}\}$ and $t(a^{n_1}b^{m_1}c^{n_2}d^{m_2}) = \{a^{n_2}, a^{m_2}\}$. Then,

$$\Delta_{\boldsymbol{s},\boldsymbol{t}} = \left\{ a^{n_1} b^{m_1} c^{n_2} d^{m_2} \mid \{n_1, m_1\} \neq \{n_2, m_2\} \right\} \notin \mathsf{CFL}$$

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$$\Delta_{s,t} = \left\{ a^{n_1} b^{m_1} c^{n_2} d^{m_2} \mid \{n_1, m_1\} \neq \{n_2, m_2\} \right\} \notin \mathsf{CFL}.$$

Corollary. We strengthen $\mathcal{E}(FUNC) \subseteq CSL$ to $\mathcal{E}(TR) \subseteq CSL$ (via our $\Delta(TR) \subseteq CSL$ and the known CSL = coCSL)

The Word Problem

The word problem (in our context) is to decide, for given transducers s, t and word w whether $w \in \Delta_{s,t}$.

The following statements hold true.

- 1. The word problem is **PSPACE**-complete.
- The restriction of the word problem to the case where the first, at least, transducer has finite outputs is NP-complete.
- 3. The restriction of the word problem to the case where at least one of the transducers involved is functional is in the class **P**.

Note: Hardness reductions involve NFA universality.

PRAX Algorithms for Universality and Emptiness

PRAX: **P**olynomial-time **R**andomized **A**ppro**X**imation.

Main ideas

► View universality and emptiness as probability mass problems relative to a discrete probability distribution T : X → [0, 1]:

$$\sum_{x \in X} T(x) = 1$$
 and $T(L) = \sum_{x \in L} T(x)$, for $L \subseteq X$.

T(L) = the probability/mass of the subset L, [Golomb, 1970]

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Specifically:

a subset L of the domain X is universal if T(L) = 1; a subset L of the domain X is empty if T(L) = 0.

PRAX Algorithms for Universality and Emptiness

PRAX: Polynomial-time Randomized ApproXimation.

Main ideas

► View universality and emptiness as probability mass problems relative to a discrete probability distribution T : X → [0, 1]:

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Specifically:

- a subset L of the domain X is universal if T(L) = 1;
- a subset L of the domain X is empty if T(L) = 0.
- L ⊆ X is described by some algorithmic object α, that is,
 L = L(α). We call α a subset description.

We use $T(\alpha)$ as shorthand for $T(\mathcal{L}(\alpha))$.

As the problems

$$U_T = \{ \alpha : T(\alpha) = 1 \}$$
 and $E_T = \{ \alpha : T(\alpha) = 0 \}$

can be hard, we seek approximate versions.

Reminder: $T(\alpha) = T(\mathcal{L}(\alpha)) = \text{mass of } \mathcal{L}(\alpha) \subseteq X$

Seeking approximate versions of the problems $U_T = \{ \alpha : T(\alpha) = 1 \}$ and $E_T = \{ \alpha : T(\alpha) = 0 \}$

► Given (approximation) tolerance ε ∈ (0, 1), we assume we are happy to decide

$$U_{\mathcal{T},\varepsilon} = \{ \alpha : \mathcal{T}(\alpha) \ge 1 - \varepsilon \} \text{ and } E_{\mathcal{T},\varepsilon} = \{ \alpha : \mathcal{T}(\alpha) \le \varepsilon \}.$$

(\varepsilon-close being universal) (\varepsilon-close being empty)

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 U_{T,ε} = {α : T(α) ≥ 1 − ε} and E_{T,ε} = {α : T(α) ≤ ε}. (ε-close being universal) (ε-close being empty)
 Unfortunately the approximate versions can be hard as well [SK, Mastnak, Moreira, Reis: TCS 2023] Reminder: $T(\alpha) = T(\mathcal{L}(\alpha)) = \text{mass of } \mathcal{L}(\alpha) \subseteq X$

Seeking approximate versions of the problems $U_T = \{ \alpha : T(\alpha) = 1 \}$ and $E_T = \{ \alpha : T(\alpha) = 0 \}$

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- Unfortunately the approximate versions can be hard as well [SK, Mastnak, Moreira, Reis: TCS 2023]
- What about standard randomized complexity classes (like RP or coRP)? As all of the above problems can be hard, it is unlikely that they belong to those [S.Arora, B.Barak: Comp. Cxty 2008].

PRAX algorithm definition (universality)

The answer to [what a "good" approximation is] seems intimately related to the specific computational task at hand [O.Goldreich, 2008]

We define a PRAX algorithm for U_T to be a randomized decision algorithm $A(\alpha, \varepsilon)$ satisfying the following conditions:

- 1. if $\alpha \in U_T$ then $A(\alpha, \varepsilon) =$ True;
- 2. if $\alpha \notin U_{T,\varepsilon}$ then $P[A(\alpha,\varepsilon) = \texttt{False}] \geq 3/4$;
- 3. $A(\alpha, \varepsilon)$ works in polynomial time w.r.t. $1/\varepsilon$ and $|\alpha|$.

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- ▶ When $A(\alpha, \varepsilon)$ returns the answer False, this answer is correct: $\alpha \notin U_T$.
- ▶ If $A(\alpha, \varepsilon)$ returns True then probably $\alpha \in U_{T,\varepsilon}$, in the sense that $\alpha \notin U_{T,\varepsilon}$ would imply $P[A(\alpha, \varepsilon) = \text{False}] \ge 3/4$.

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- 1. if $\alpha \in U_T$ then $A(\alpha, \varepsilon) = \text{True}$; 2. if $\alpha \notin U_{T,\varepsilon}$ then $P[A(\alpha, \varepsilon) = \text{False}] \ge 3/4$;
- 3. $A(\alpha, \varepsilon)$ works in polynomial time w.r.t. $1/\varepsilon$ and $|\alpha|$.
- ▶ When $A(\alpha, \varepsilon)$ returns the answer False, this answer is correct: $\alpha \notin U_T$.
- ▶ If $A(\alpha, \varepsilon)$ returns True then probably $\alpha \in U_{T,\varepsilon}$, in the sense that $\alpha \notin U_{T,\varepsilon}$ would imply $P[A(\alpha, \varepsilon) = \text{False}] \ge 3/4$.
- ▶ The algorithm returns the wrong answer when it returns True and $\alpha \notin U_{T,\varepsilon}$, but this happens with probability $\leq 1/4$.
- Running the algorithm k times reduces error probability to $\leq (1/4)^k$.

PRAX algorithm definition (emptiness)

The answer to [what a "good" approximation is] seems intimately related to the specific computational task at hand [O.Goldreich, 2008]

We define a PRAX algorithm for E_T to be a randomized decision algorithm $A(\alpha, \varepsilon)$ satisfying the following conditions:

- 1. if $\alpha \in E_T$ then $A(\alpha, \varepsilon) = \text{True}$; 2. if $\alpha \notin E_{T,\varepsilon}$ then $P[A(\alpha, \varepsilon) = \text{False}] \ge 3/4$;
- 3. $A(\alpha, \varepsilon)$ works in polynomial time w.r.t. $1/\varepsilon$ and $|\alpha|$.
- ▶ When $A(\alpha, \varepsilon)$ returns the answer False, this answer is correct: $\alpha \notin E_T$.
- ▶ If $A(\alpha, \varepsilon)$ returns True then probably $\alpha \in E_{T,\varepsilon}$, in the sense that $\alpha \notin E_{T,\varepsilon}$ would imply $P[A(\alpha, \varepsilon) = \text{False}] \ge 3/4$.
- The algorithm returns the wrong answer when it returns True and α ∉ E_{T,ε}, but this happens with probability ≤ 1/4.
- Running the algorithm k times reduces error probability to $\leq (1/4)^k$.

PRAX Theorem [P.Andreou, SK, Taylor Smith: JALC, to appear]

Let T be a tractable distribution family. Let E_T be any emptiness problem and let U_T be any universality problem, where their instances α are subset descriptions with polynomially decidable $\mathcal{L}(\alpha)$. The algorithms below are PRAX algorithms (relative to T) for E_T and U_T .

Universal
$$_{\mathcal{T}}(\alpha, \varepsilon)$$
 En
 $c := 1.4;$
 $n := \lceil c/(\varepsilon/2) \rceil;$
repeat n times:
 $x := \operatorname{select}_{\mathcal{T}}(\varepsilon/2);$
if $(x \neq \bot \operatorname{and} x \notin \mathcal{L}(\alpha))$
return False
return True;

$$\begin{array}{l} \mathsf{mpty}_{\mathcal{T}}\left(\alpha,\varepsilon\right) \\ c := 1.4; \\ n := \lceil c/(\varepsilon/2) \rceil; \\ \mathsf{repeat} \ n \ \mathsf{times}: \\ x := \mathsf{select}_{\mathcal{T}}(\varepsilon/2); \\ \mathsf{if}\left(x \neq \bot \ \mathsf{and} \ x \in \mathcal{L}(\alpha)\right) \\ \mathsf{return} \ \mathsf{False} \\ \mathsf{return} \ \mathsf{True}; \end{array}$$

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Earlier version tested for NFA universality in [SK, Mastnak, Moreira, Reis: TCS 2023]

PRAX algorithm for tractable $T = \{T_{\alpha}\}$

Assumptions (inspired from [S.Arora, B.Barak: Comp. Cxty 2008]) Each decision problem $(U_T \text{ or } E_T)$ and each PRAX algorithm refers to a specific family T of tractable distributions.

Each problem instance α , which we call a subset description, specifies a particular tractable distribution $T_{\alpha} \in T$ and a subset $\mathcal{L}(\alpha)$ of $X = \text{dom}(T_{\alpha})$.

Example.

- If the set of instances is the set of NFAs then each NFA α specifies the domain X = Σ*, where Σ is the alphabet of α; and the distribution T_α is the same for any NFA α over Σ.
- If every instance α specifies a finite domain, then we use the uniform distribution on X = dom(T_α). For example, each α is a Boolean expression in CNF with some number k, say, of variables, and L(α) is the set of 2^k truth assignments to the k variables.

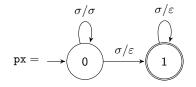
Tractable Distribution

A distribution T with domain X is called tractable if there is a (randomized) algorithm select_T(δ), where $\delta \in (0, 1)$, that is polynomial w.r.t. $1/\delta$ and randomly selects an element from the truncated distribution T^F such that $T(X - F) \leq \delta$, where F is a set of X-elements determined by the algorithm once (F can be defined via a static variable).

Truncated distributions. Selecting from a distribution D with infinite domain X = domD could return an element of intractable size. For this reason, given a desirable "small" positive $\delta < 1$, we like to choose a finite subset F of X and then select elements from F, omitting the *tail* X - F of D, provided that $D(X - F) \le \delta$. More specifically, if $F = \{x_1, \ldots, x_k\}$, the F-truncated version of D is the distribution D^F with domain $F \cup \{\bot\}$, where ' \bot ' is an object outside of X, such that

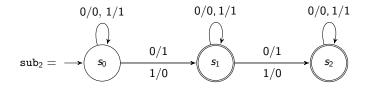
$$D^{F} = (D(x_{1}), \ldots, D(x_{k}), 1 - D(F)).$$

Transducer examples



 $\mathsf{E.g., px(001)} = \{\mathsf{00}, \mathsf{0}, \varepsilon\}$

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A **t**-code is any language L s.t. $t(L) \cap L = \emptyset$.

Maximality of Codes [SK, Mastnak, Moreira, Reis: TCS 2023]

- Let **t** be an input-altering transducer: $w \notin t(w)$, \forall words w.
- ▶ A **t**-code is any language L s.t. $t(L) \cap L = \emptyset$.

By varying *t*, we can model var length *and* error control codes.

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By varying *t*, we can model var length *and* error control codes.

If α is an NFA/DFA with L(α) being a t-code, then L(α) is maximal iff the NFA α ∪ t(α) ∪ t⁻¹(α) is universal.

Theorem/Corollary. There is a PRAX algorithm for the maximality of regular *t*-codes relative to the Dirichlet word distribution $\langle D_{t,d} \rangle$.

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Reminder: For t > 1, $\zeta(t) = \sum_{n \ge 1} 1/n^t$ is a real number. **Dirichlet distribution** $D_{t,d}$ of [Golomb, 1970], t > 1, $d \ge 0$: using it, many heuristic probability arguments based on the fictitious uniform distribution on the positive integers become rigorous statements.

$$\mathsf{D}_{t,d}(\ell) = 1/\zeta(t) \cdot 1/(1+\ell-d)^t$$

Confirm: $\sum_{\ell \geq d} \mathsf{D}_{t,d}(\ell) = 1/\zeta(t) \cdot \sum_{\ell \geq d} 1/(1+\ell-d)^t = 1.$

 $\langle \mathsf{D}_{t,d} \rangle$: use $\mathsf{D}_{t,d}$ to select a length $\ell \geq d$, then select uniformly a word of length ℓ .

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<u>Nice</u>: For any $m \in \mathbb{N}$, selecting ℓ multiple of m has probability $P[\ell \text{ multiple of } m] = 1/m^t$.

Emptiness & Universality of 2D Automata

2DNFAs: [Giammarresi&Restivo], [Inque&Takanami], [Taylor],...

Theorem/Corollary. [P. Andreou, SK, Taylor: JALC, to appear] There is a PRAX algorithm for the emptiness (and for the universality) problem of 2D automata, relative to the 2D word distribution $\langle D_{t,d}^2 \rangle$, which works in time

$$O(1/\varepsilon \cdot \sqrt[t-1]{1/\varepsilon^2} \cdot s),$$

where s is the number of states of the 2D automaton used as input to the algorithm.

- The above holds for all (standard) 2D automata as they have polynomial time membership [Taylor Smith: PhD 2021]

Tautology testing

Corollary. [P. Andreou, SK, Taylor: JALC, to appear] There is a PRAX algorithm for the problem of tautology testing that works in time $O(|\alpha|(1/\varepsilon))$.

Testing whether a CNF proposition α with some k variables is a tautology is a universality problem U_B: whether all 2^k truth assignments satisfy α, equivalently, whether B(α) = 1, where B = (B_k) and B_k is the uniform distribution on {T, F}^k.

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- Testing whether a CNF proposition α with some k variables is a tautology is a universality problem U_B: whether all 2^k truth assignments satisfy α, equivalently, whether B(α) = 1, where B = (B_k) and B_k is the uniform distribution on {T, F}^k.
- The problem is mentioned in [Fortnow: CACM 2022] as a good candidate for an interesting problem not in the class P. The approximate version U_{B,ε} of U_B is whether B(α) ≥ 1 − ε.
- Could be useful in a non rigid decision making scenario, where it is acceptable to know whether a proposition α → β is true in "most cases" (i.e., the ratio of the satisfying truth assignments over all truth assignments is ≥ 1 − ε).

Diophantine Equations

Motivation from: [B. Grechuk, On the smallest open diophantine equations. SIGACT News 53, 2022]

Theorem/Corollary. [P. Andreou, SK, Taylor: JALC, to appear] There is a PRAX algorithm for the emptiness (and for the universality) problem of Diophantine equations $\alpha(x, y, z) = 0$ with three nonnegative variables, relative to the 3D length distribution $D_{t,d}^3$, which works in time

$$Oig(\sqrt[t-1]{1/arepsilon^2}+\,1/arepsilon\,\cdot\,\,t^{-1}\sqrt{1/arepsilon}+1/arepsilon\,\cdot\,|lpha|ig).$$

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 $D^3_{t,d}$: picks three integers $k, \ell, m \ge d$ from $D_{t,d}$.

Experimental "Theorem" about the Diophantine equations $x^3y^2 - z^3 \pm 6 = 0$ [B. Grechuk, SIGACT News 2022]

- 1. with probability $\geq 1023/1024$, the set *S* of solutions (of either equation) is 0.00001-close to being empty, relative to $D_{t,d}^3$ with t = 2.00 and d = 2, i.e. $D_{t,d}^3(S) \leq 0.00001$.
- 2. with probability $\geq 1023/1024$, the set *S* of solutions (of either equation) is 0.001-close to being empty, relative to $D_{t,d}^3$ with t = 1.50 and d = 2, i.e. $D_{t,d}^3(S) \leq 0.001$.
- 3. with probability $\geq 1023/1024$, the set *S* of solutions (of either equation) is 0.05-close to being empty, relative to $D_{t,d}^3$ with t = 1.25 and d = 2, i.e. $D_{t,d}^3(S) \leq 0.05$.

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PRAX implementation: using FAdo [Reis, Moreira: FAdo]

Research Directions

Resolve proper containment issues in the hierarchy of the transducer difference classes. For example, can we show that the OCL language {aⁿbⁿ}_{n≥0} is not in Δ(FUNC) ? Δ(FUNC) ⊆ Δ(FUNC, TR) is proper ?

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- PRAX theory: One can define PRAX algorithms for the complements of the universality and emptiness problems (in a "dual" manner). Does every problem in coNP and NP, or even in PSPACE, have a PRAX algorithm ?
- ▶ PRAX modelling: $D_{t,d}$ is biased, but approaches the fictitious uniform distribution on \mathbb{N} as $t \to 1^+$.

Some details on the Dirichlet distribution [Golomb, 1970] Below, let d = 1, so dom $(D_{t,d}) = \mathbb{N}$.

> D_{t,d} is biased: For any $m \in \mathbb{N}$, the probability that a selected $\ell \xleftarrow{\$} D_{t,d}$ is a multiple of m is $1/m^t$. Hence, $P_t[\ell \text{ is even}] = 1/2^t$ and $P_t[\ell \text{ is odd}] = 1 - 1/2^t$.

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But, if $t \to 1^+$: $D_{t,d}$ approaches the fictitious uniform distribution on \mathbb{N} . For any *m* and any r < m, we have:

 $P_t[\ell m = 1] > \cdots > P_t[\ell m = m - 1] > P_t[\ell m = 0] = 1/m^t$

The above strict inequalities become \geq (non-strict) when taking $\lim_{t\to 1^+} P_t[\ell\% m = r]$. Hence, $\lim_{t\to 1^+} P_t[\ell\% m = r] = 1/m$.

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Let
$$(m_1, m_2) = 1$$
. Then, for $\ell \xleftarrow{\$} \mathsf{D}_{t,d}$ we have
 $P_t[\ell\%(m_1m_2) = 0] = P_t[\ell\%m_1 = 0] \cdot P_t[\ell\%m_2 = 0]$

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THANK YOU !

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